



Department of Energy  
Washington, DC 20585

July 1, 2015

JM Chronology  
JM RECEIVED - 7/2/15  
OUT FOR REVIEW - 7/27/15  
DRB DISCUSSION - 8/6/15

MEMORANDUM FOR: INGRID KOLB  
DIRECTOR, OFFICE OF MANAGEMENT

THROUGH: KEVIN T. HAGERTY  
DIRECTOR, OFFICE OF INFORMATION RESOURCES

FROM: MATTHEW B. MOURY  
ASSOCIATE UNDER SECRETARY FOR  
ENVIRONMENT, HEALTH, SAFETY AND SECURITY

SUBJECT: Notice of Intent to Revise DOE O 470.4B, *Safeguards and Security Program*

**PURPOSE:** This memorandum provides justification for revision of Department of Energy (DOE) Order (O) 470.4B, *Safeguards and Security Program*. The directive is proposed for revision to reflect the establishment by the Secretary of Energy of the Office of Environment, Health, Safety and Security (AU), and the Chief Security Officer positions and the Security Committee. The responsibilities section of the Order will be revised to include the Chief Security Officers and the Security Committee and to better reflect current Departmental structure.

**JUSTIFICATION:** This directive was last revised in February 2013. Since that date, as part of the Secretary's 2014 strategy of reforms to enhance security, independent assessments, safety and health functions, the former Office of Health, Safety and Security was restructured into two new offices, AU and the Office of Enterprise Assessments (EA). In addition, as part of the same reform strategy, the Secretary established the positions of Chief Security Officer within the offices of each of the Departmental Under Secretaries. He also directed the establishment of a Security Committee, to be chaired by the Associate Deputy Secretary, which is charged with developing unified security strategies and policies. These new security strategies impact directly on the responsibilities covered in DOE O 470.4B, and it is necessary that the directive be revised to incorporate the Secretary's reform strategy.

In addition to incorporating the Secretary's reform strategy, the Order will be updated to reflect other changes in the Departmental management structure which have taken place since the directive was revised in 2013. Administrative changes will be made to effect organizational updates (e.g., HSS to AU and EA as appropriate) and to update references and internet links where necessary. Language changes will also be made to clarify existing requirements, where the necessity for such changes has been brought to our attention.

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The current applicability of this Order will not change. The revised directive will be developed using input from and interaction with representatives of the offices of the three Under Secretaries and the Security Committee. It is anticipated that the revisions proposed will be accomplished through a page change.


There are no valid external, consensus, or other standards (e.g., ISO, VPP, etc.) available which can be used in place of this directive.

**IMPACT:** The proposed directive does not duplicate existing laws, regulations or national standards and it does not create an undue burden on the Department. During the revision, consideration will be given to the impact of any changes in requirements on Departmental operations. The directive is necessary to establish responsibilities and program planning and management requirements for the Department's Safeguards and Security (S&S) Program, based on national policy promulgated in laws, regulations, Executive Orders, and national standards. The Order represents Departmental compliance with requirements imposed by various Executive Orders, Congressional appropriations bills, laws, and treaties. The proposed revisions to the Order will express in DOE policy the strategic approach to security articulated in the Secretary's reforms, based on his thorough review of the Department's security functions enterprise-wide.

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Ingrid Kolb, Director, Office of Management (MA-1):

Concur:  Nonconcur: \_\_\_\_\_ Date: 8-6-2015

Unless determined otherwise by the Directives Review Board (DRB), writers will have up to 60 days in which to develop their first draft and submit to the Office of Information Resources, MA-90

| <u>Standard Schedule for Directives Development</u> | <u>Days</u>   |
|---|---------------|
| Draft Development                                   | Up to 60 days |
| Review and Comment (RevCom)                         | 30            |
| Comment Resolution                                  | 30            |
| Final Review  | 30            |
| Total   | 150           |

***(NOTE: The standard schedule of up to 150 days will be used unless otherwise specified by the Directives Review Board.)***