



Department of Energy
Washington, DC 20585

November 17, 2016

MEMORANDUM FOR THE DEPUTY SECRETARY

THROUGH:

DAVID M. KLAUS 
DEPUTY UNDER SECRETARY FOR MANAGEMENT AND
PERFORMANCE

THROUGH:

INGRID KOLB 
DIRECTOR
OFFICE OF MANAGEMENT

FROM:

MATTHEW B. MOURY 
ASSOCIATE UNDER SECRETARY FOR ENVIRONMENT, HEALTH,
SAFETY AND SECURITY

SUBJECT:

Approval of Department of Energy Policy 434.1B, *Conduct and Approval of Select Agent and Toxin Work at Department of Energy Sites*

ISSUE: Whether to approve the revision of Department of Energy (DOE) Policy 434.1A, *Conduct and Approval of Select Agent and Toxin Work at Department of Energy Sites*.

BACKGROUND: A new United States Government (USG) policy for institutional oversight of life sciences dual use research of concern (DURC) became effective September 24, 2015. This policy is complementary to the March 2012 USG Policy for DURC and addresses institutional oversight of DURC for federally funded studies that involve 15 select pathogens and toxins. Institutional oversight includes policies, practices, and procedures to ensure DURC is identified and risk mitigation measures are implemented, where applicable. Institutional oversight of DURC is a critical component of a comprehensive oversight system since institutions are the most familiar with the life sciences research conducted in their facilities.

The revision includes consideration of the 2015 USG DURC Policy as well as updates to the references section, oversight of biological facilities within the framework of existing DOE regulations, clarification regarding the roles of the DOE Biosurety Executive Working Group, and minor administrative changes.



RECOMMENDATION: That you approve DOE Policy 434.1B, *Conduct and Approval of Select Agent and Toxin Work at Department of Energy Sites*. This action was recommended for approval by the Directives Review Board, Field Managers Council and National Lab Directors Council on October 26, 2016.

Attachments

APPROVE LSR DISAPPROVE: _____ NEEDS DISCUSSION: _____ DATE: NOV 25 2016

CONCURRENCES: See attached synopsis.