



Department of Energy
Washington, DC 20585

May 18, 2016

JM Chronology
JM RECEIVED
OUT FOR REVIEW
DRB DISCUSSION

5/20/16
5/20/16
6/9/16

MEMORANDUM FOR: INGRID KOLB
DIRECTOR, OFFICE OF MANAGEMENT

THROUGH: *[Signature]*
ALEXANDER MORRIS
ACTING DIRECTOR, OFFICE OF INFORMATION RESOURCES

FROM: *[Signature]*
JOHN R. BASHISTA
DIRECTOR, OFFICE OF ACQUISITION MANAGEMENT

SUBJECT: Notice of Intent to Develop Department of Energy Policy XXXX,
Contractor Assurance Systems Policy

PURPOSE: This memorandum provides justification for a new policy on Contractor Assurance Systems (CAS). This policy provides the Secretary's expectations for the consistent implementation of CAS across the DOE complex.

JUSTIFICATION: The Commission to Review the Effectiveness of the National Energy Laboratories (CRENEL) found that the implementation of CAS is inconsistent and recommended that DOE ensure greater uniformity across the complex. CRENEL also recommended that site offices more extensively use data from CAS.

In his February 2016 response to Congress on the CRENEL report, the Secretary committed to directing a working group to review how various offices operate CAS at their laboratories and to develop a policy document which articulates high level CAS principles to promote uniform application across the complex. The attached policy document is intended to fulfill the Secretary's commitment.

There are no valid external, consensus or other standards (e.g., ISO, VPP, etc.) available which may be used in place of this directive.

IMPACT: The proposed directive does not duplicate existing laws, regulations or national standards and it does not create undue burden on the Department.

WRITER: Berta Schreiber, Director, Office of Policy, 202-586-8107

OPI/OPI CONTACT: Berta Schreiber, Director, Office of Policy, 202-586-8107

Ingrid Kolb, Director, Office of Management (MA-1):

Concur: *[Signature]* Nonconcur: _____ Date: 6-9-16



Rec'd Rinkack 5/20/16